

Mandate form

! Important information

Please read before completing this form.

This document must be read and completed in conjunction with the Prospectus and Key Investor Information Document (KIID) for the Public Sector Deposit Fund. Copies are available on our website, www.ccla.co.uk.

This mandate form will supersede any other instructions we hold.

Please ensure that all the required documents are enclosed as failure to do so may delay any future transactions (see Section 6 for checklist).

Please call our Client Services Team on freephone **0800 022 3505** if you have any questions about this form or would like to discuss any additional support needs. Please note that telephone calls are recorded. If being completed by hand, please use black ink and write in BLOCK CAPITALS.

All pages of this form should be returned to CCLA, PO Box 12892, Dunmow, Essex CM6 9DL. Alternatively, a PDF version of the form can be sent to cclaclientservices@fnztaservices.com if an email instructions authority is in place.

If you are sending your instruction by email, please do not send the original documentation in the post and do not resend the email and/or the attachment.

Section 1 About your organisation

Date (dd/mm/yyyy)

1.1 Your organisation's name

Has your organisation's name changed?

Yes No

If yes, please provide the previous name

1.2 CCLA account numbers to which this mandate form applies

1.3 Organisation's office address - **This address will be registered for correspondence**

Postcode

1.4 Daytime telephone number

1.5 Correspondence preferences

Please tick one option

CCLA's Digital Portal – you can receive communications, including statements and transaction confirmations via the secure portal.

OR

Email – sent to the correspondent's email address.

OR

Post – sent to the office address (section 1.3).

Statements are sent monthly.

1.6 What is the intended purpose of the investment with CCLA?

1.7 What is the intended frequency of transactions on the account?

Section 2 Nominated bank details

No third party payments will be undertaken (All withdrawals will be paid to the nominated bank account).

2.1 Do you wish to change the nominated bank account?

Yes ▶ **Please go to 2.2** No ▶ **Please go to 2.3**

2.2 Nominated bank account details:

Bank name

Account name

Sort code

Account number

Please send one of the following to verify the bank account: an original paying-in slip, an original cheque marked 'void' or a certified copy of a bank statement confirming that the account is held in the investing organisation's name. The certification must be carried out by one of the following:

- representative of an FCA or EU equivalent regulated firm (e.g. bank manager)
- solicitor/lawyer
- chartered accountant
- notary
- any CCLA Investment Management Limited employee.

The professional certifying the bank statement should:

- **be a different person from anyone named on the form**
- **not be related, in a relationship or living at the same address to any person named on the form**
- **write 'Certified to be a true copy of the original seen by me' on the document**
- **sign and date the document**
- **print their name under the signature and add their occupation, address and telephone number.**

2.3 Dividend payments:

Do you want to change your dividend payment instructions?

Yes ▶ **Please go to 2.4** No ▶ **Please go to Section 3**

2.4 Please tick one option

Automatically be reinvested and additional Shares created.

OR

Paid by electronic transfer to your nominated bank account.

Section 3 Directors' (or equivalent) authorisation

This section must be read, completed and signed by a minimum of two and up to four directors (or equivalent) who have control and management over its affairs.

Please consult an intermediary if you require investment advice.

Your personal information

Privacy Notice

CCLA's Privacy Notice sets out how CCLA complies with UK Data Protection requirements and how it processes and protects your personal information. CCLA's Privacy Notice can be found on our website at www.ccla.co.uk.

Communicating with you

CCLA may collect and use your personal information to bring to your attention additional products or services which may be of interest to you by email, telephone or post. Where we are required to obtain your consent to communicate with you by email or telephone or post we will do so. You have the right to ask us not to process your personal information for this purpose at any time. Please email us at clientservices@ccla.co.uk or telephone us on **0800 022 3505**.

Sharing your personal information

To provide our services to you we may share your personal information with third parties including:

- those who provide administrative and operational services to us;
- to verify your identity in accordance with UK money laundering requirements. These may include credit reference agencies;
- where required by law, regulation or a court order;
- fraud and law enforcement agencies if you give us false or inaccurate information or you have made us aware that you suspect fraud; and
- HMRC or the Financial Conduct Authority.

Client categorisation

CCLA is required to categorise all its clients so that they receive an appropriate level of investor protection. CCLA will categorise you as a Retail Client.

Anti-money laundering

You may be asked to provide documentation to assist CCLA in verifying the identity of any individuals referenced in this form in accordance with regulatory requirements. This is normally done using electronic means but occasionally extra documents may be required from you to complete this process.

How your money may be held

Where you send money to us by electronic transfer to invest in The Public Sector Deposit Fund (PSDF), if we have not paid this money to the depositary of the CCLA Public Sector Investment Fund (Company) by the end of the business day following the date of receipt of the money, we are required to treat this money as client money and pay this money into a client money account. Please note that, until the end of the business day following the date of receipt of the money, it is possible that your money will not be held as client money and therefore will not be segregated under the FCA's client money rules. Where you send money to us by cheque to invest in the PSDF, we will treat this money as client money and will pay it into a client money account by close of business on the day following receipt, where it will remain until such time as it is paid to the depositary of the Company.

In addition, we are required to treat as client money any redemption proceeds which we continue to hold at the end of the business day following the day that we receive your redemption proceeds from the depositary. You acknowledge and agree that, until this time, it is possible that these proceeds will not be held as client money and therefore will not be segregated under the FCA's client money rules.

Declarations:

By signing the authorisation section of this mandate form, we confirm that:

- The Shares to which this form relates are and will at all times be on behalf of the investing organisation.
- We have read and understood the contents of the PSDF KIID and the Prospectus.
- The investing organisation remains eligible to invest in the PSDF under the Prospectus.
- The persons signing below are duly authorised to sign on behalf of the investing organisation.
- We will inform CCLA immediately should the investing organisation under Section 1 above cease to qualify, at which time disinvestment from the PSDF will be required.
- The main contact and authorised signatories for the account(s) are known to us.
- We shall notify CCLA of any subsequent changes of directors (or equivalent), main contact and/or authorised signatories.
- The investing organisation is a UK Public Sector body which is tax exempt.

- We understand that in the provision of this service, CCLA is executing transactions following our instruction and is not providing advice on the merits of transactions and in relation to which the rules on assessment of appropriateness and suitability do not apply. Consequently, investors do not benefit from the protection of the rules on assessing appropriateness and suitability provided within the FCA Rules (as defined in the Prospectus).
- The information contained in this form is true and accurate to the best of our knowledge and belief.
- Grant to the person named as main contact in Section 3 or Section 4 authority to act as administrator of the CCLA Digital Portal - the portal administrator. The CCLA Digital Portal is an online tool that allows users to view their accounts, holdings, valuations, transactions and associated documents. The person who is the portal administrator will be able to grant/revoke access to the portal for others within their organisation.

Authorisation:

We authorise you to:

- Conduct the account(s) as instructed in this mandate form.
- Accept faxed instructions that purport to be properly issued in accordance with this mandate form.
We indemnify you against any costs or loss arising from your acting on such instructions.

Accounts should be operated by:

Any two of the authorised signatories

Any one of the authorised signatories

First director (or equivalent)

Name

Signature

Date (dd/mm/yyyy)

▶ **First director needs to complete sections 3.1 to 3.5**

Second director (or equivalent)

Name

Signature

Date (dd/mm/yyyy)

▶ **Second director needs to complete sections 3.6 to 3.10**

Third director (or equivalent)

Name

Signature

Date (dd/mm/yyyy)

▶ **Third director needs to complete sections 3.11 to 3.15**

Fourth director (or equivalent)

Name

Signature

Date (dd/mm/yyyy)

▶ **Fourth director needs to complete sections 3.16 to 3.20**

3.1 First director (or equivalent)

Title

Forename

Middle name

Surname

Date of birth (dd/mm/yyyy) Position

Mobile number

Daytime telephone number

Email address

Home address

Postcode

Date moved to this address (dd/mm/yyyy)

3.2 Will you be the main contact/portal administrator?

Yes

No

Please note: Your mobile number and email address will be used for security when logging into the portal.

3.3 Will you be an authorised signatory?

Yes

No

3.4 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

3.5 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature

Date (dd/mm/yyyy)

3.6 Second director (or equivalent)

Title Forename

Middle name Surname

Date of birth (dd/mm/yyyy) Position

Mobile number Daytime telephone number

Email address

Home address

Postcode Date moved to this address (dd/mm/yyyy)

3.7 Will you be the main contact/portal administrator?

Yes No

Please note: Your mobile number and email address will be used for security when logging into the portal.

3.8 Will you be an authorised signatory?

Yes No

3.9 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

3.10 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature

Date (dd/mm/yyyy)

3.11 Third director (or equivalent)

Title

Forename

Middle name

Surname

Date of birth (dd/mm/yyyy) Position

Mobile number

Daytime telephone number

Email address

Home address

Postcode

Date moved to this address (dd/mm/yyyy)

3.12 Will you be the main contact/portal administrator?

Yes No

Please note: Your mobile number and email address will be used for security when logging into the portal.

3.13 Will you be an authorised signatory?

Yes No

3.14 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

3.15 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature

Date (dd/mm/yyyy)

3.16 Fourth director (or equivalent)

Title Forename

Middle name Surname

Date of birth (dd/mm/yyyy) Position

Mobile number Daytime telephone number

Email address

Home address

Postcode Date moved to this address (dd/mm/yyyy)

3.17 Will you be the main contact/portal administrator?

Yes No

Please note: Your mobile number and email address will be used for security when logging into the portal.

3.18 Will you be an authorised signatory?

Yes No

3.19 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

3.20 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature

Date (dd/mm/yyyy)

Section 4 Main contact/portal administrator

4.1 If no main contact/portal administrator has been selected in Section 3, please complete this section.

Title

Forename

Middle name

Surname

Position

Your mobile number and email address will be used for security when logging into the portal.

Mobile number

Daytime telephone number

Email address

4.2 Will the main contact/portal administrator also be an authorised signatory?

Yes

No

4.3 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

4.4 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature

Date (dd/mm/yyyy)

Section 5 Other signatories that are authorised to operate the account(s)

5.1 Authorised signatory

Title Forename

Middle name Surname

Position

Your mobile number and email address will be used for security when logging into the portal.

Mobile number Daytime telephone number

Email address

5.2 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

5.3 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature Date (dd/mm/yyyy)

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5.4 Authorised signatory

Title Forename

Middle name Surname

Position

Your mobile number and email address will be used for security when logging into the portal.

Mobile number Daytime telephone number

Email address

5.5 I agree to CCLA communicating with me by email, phone or post as set out in the section headed Communicating with you on page 4 of this form. I understand that I have the right to request otherwise at any time.

5.6 I confirm that to the best of my knowledge all of the above information I have provided is correct as at the date of signing.

Signature Date (dd/mm/yyyy)

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Please use additional sheet if required

Section 6 Checklist and documentation required

PLEASE TICK TO CONFIRM ALL OF THE DOCUMENTS ARE ENCLOSED.

If you have provided us with new bank account details under Section 2, please return the form with one of the following:

- an original paying-in slip
- an original cheque marked 'void'
- a certified copy of a bank statement confirming that the account is held in the investing organisation's name.

If you are sending a certified copy of a bank statement, the certification must be carried out by one of the following listed below:

- **representative of an FCA or EU equivalent regulated firm (e.g. bank manager)**
- **solicitor/lawyer**
- **chartered accountant**
- **notary**
- **any CCLA Investment Management Limited employee.**

The professional certifying the bank statement should:

- **be a different person from anyone named on the form**
- **not be related, in a relationship or living at the same address to any person named on the form**
- **write 'Certified to be a true copy of the original seen by me' on the document**
- **sign and date the document**
- **print their name under the signature and add their occupation, address and telephone number.**

A copy of your governing document or other evidence (such as minutes or signatory list) confirming those named in Section 3 are authorised to act on behalf of your organisation.

Section 7 Additional information and notes

Please use this section to disclose any additional information or support requirements.

Section 8 Email instructions authority

Instructions on a CCLA form, sent by email to us as a PDF, and signed in accordance with the account mandate, can be accepted if we have the relevant email instructions authority.

Please complete this section if you would like us to accept instructions by email.

Important information

A PDF version of a CCLA form attached to your email is your instruction to us and should be sent to cclclientservices@fnztaservices.com. Do not send the original documentation in the post and do not resend the email and/or the attachment as your instruction may be processed again. This mailbox will automatically upload the PDF for processing so any additional information contained in the body of the email will not be seen. If you have any additional information about the instruction that is not on the completed form, please send your email and PDF to our Client Services Team at clientservices@ccla.co.uk who will be happy to assist.

Authority to accept email instructions

In consideration of CCLA agreeing to accept from us, notwithstanding the terms of the relevant mandate, from time to time instructions purporting to come from us in the form of email instructions in relation to our account(s), we confirm and accept that CCLA does not accept responsibility for, and we will not seek to hold CCLA liable for any actions, proceedings, claims, losses, damage, costs and expenses that may be suffered or incurred as a result of CCLA accepting, or acting upon, instructions that CCLA reasonably believes have come from us, or have been given on our behalf. We accept responsibility for any losses or costs that might be incurred as a result of the cancellation of any purchase or sale of Shares carried out as a result of CCLA accepting, or acting upon, instructions that CCLA reasonably believes have come from us or have been given on our behalf.

Authorisation

Authorised signatory name

Signature

Date (dd/mm/yyyy)

Authorised signatory name

Signature

Date (dd/mm/yyyy)

CCLA
One Angel Lane
London EC4R 3AB

CCLA
BECAUSE GOOD IS BETTER

Freephone **0800 022 3505**
clientservices@ccla.co.uk
www.ccla.co.uk

CCLA Investment Management Limited (registered in England & Wales No. 2183088), whose registered address is: One Angel Lane, London EC4R 3AB, is authorised and regulated by the Financial Conduct Authority and is the Authorised Corporate Director of the Public Sector Deposit Fund. The Public Sector Deposit Fund is a UK short-term LVNAV Qualifying Money Market Fund.